



FOCIS Planning[®] - Risk Management

The risk management program outlined in this document is designed to provide Small Medium Enterprises with a process to identify, treat, control and monitor business risks facing their organisation. The program is constructed around ISO 31000:2009 Risk Management - Principles and Guidelines and contains 17 statements designed to guide the assessment of significant risk elements faced by an organisation. The program considers internal and external risk and is aligned to organisational resources and circumstances.

Change Fundamentals

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A. Introduction

All business activities and decision making involve risks of different levels and consequences. Anticipating, understanding and deciding which risk are tolerable or how to modify their effects when they are too high is the key to organisational survival.

This risk management program is designed for small medium enterprises and is aligned to ISO 31000:2009 *Risk Management - Principles and Guidelines*.

The program is a fully customisable tool for business owners, directors and senior managers to identify, analyse, evaluate and treat risks facing their organisation. Major benefits of the program include¹:

1. Increased likelihood of achieving organisational strategy and objectives
2. Improved identification and evaluation of opportunities and threats
3. Improved governance
4. Encouraging proactive management
5. Awareness of the need to treat risk across the organisation
6. Improved stakeholder confidence and trust
7. A reliable basis for decision making
8. Effective allocation of resources
9. Improved operational effectiveness and efficiency
10. Improved organisational resilience

Throughout the program communication and consultation with key stakeholders is a key element for success and helps to develop a high level of consensus. An organisation should also consider strategies to integrate the outcomes into governance, strategic and planning, management reporting processes, policies, values and culture.

B. Scope

The program is guided by the results of the Risk Assessment Survey (RAS) creating a clear focus on the most significant areas of concern. The program will identify risks, and develop treatment options, implementation plans and policies to manage risk in an organisation. A framework for monitoring and recording risk events and storing the associated documents is also developed. The final output is a risk management plan owned and managed by the client.

¹ ISO 31000:2009 *Risk Management - Principles and Guidelines, Introduction, pages iv and v*